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1064 U.S. PTO

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JC903 U.S. PTO
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06/12/01

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

15437-0508

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Ron Karim, entitled MECHANISM FOR SAFELY EXECUTING AN UNTRUSTED PROGRAM, for a(n):

(X) Original Patent Application.

() Continuing Application (prior application not abandoned):

() Continuation () Divisional () Continuation-in-part (CIP)
of prior application No: _____ Filed on: _____
() A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification 25 Total Pages; (X) Drawing(s) 3 Total Sheets; (X) Cover Sheet 1 Page

(X) Oath or Declaration: 4 Pages

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed. () Unsigned. () Partially Signed.

() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

() Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

() Power of Attorney. (X) Return Receipt Postcard.

() Associate Power of Attorney. (X) A Check in the amount of \$926.00 for the Filing Fee.

() Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.

() Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

() A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

() A Certified Copy of Priority Documents (if foreign priority is claimed).

() Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

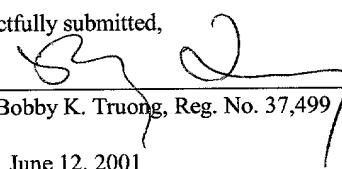
(X) Recordation of Assignment Cover Sheet and **executed** Assignment (4 pgs).

(X) Other: Check in the amount of \$40.00 assignment recording fee.

| CLAIMS AS FILED | | | | |
|---|-----------|-----------|------------------|-----------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| Total Claims | 32 | 12 | \$18.00 | \$ 216.00 |
| Independent Claims | 2 | 0 | \$80.00 | \$ 0.00 |
| Multiple Dependent Claims (if applicable) | | | | \$0.00 |
| Assignment Recording Fee | | | | \$40.00 |
| Basic Filing Fee | | | | \$710.00 |
| | | | Total Filing Fee | \$ 966.00 |

Charge \$ _____ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: 

Bobby K. Truong, Reg. No. 37,499

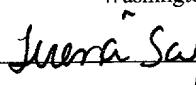
Date: June 12, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP
1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080
Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

By: 

Typed Name: Tirena Say

Express Mail Label No.: EL734778941US

Date of Deposit: June 12, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 966.00)

| Complete if Known | |
|----------------------|---------------|
| Application Number | NYA |
| Filing Date | June 12, 2001 |
| First Named Inventor | Ron Karim |
| Examiner Name | NYA |
| Group/Art Unit | NYA |
| Attorney Docket No. | 15437-0508 |

METHOD OF PAYMENT (check one)

1. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number **50-1302**

Deposit Account Name **Hickman Palermo Truong & Becker, LLP**

2. Payment Enclosed:

Check Money Order Other

3. Applicant(s) is entitled to small entity status.

See 37 CFR 1.27

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description | Fee Paid | | |
|---------------------|--------------|--------------------|----------|------------------------|--------|
| Fee Code | Fee Code | Fee Description | Fee Paid | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 710.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | (\$ 710.00) | | | |

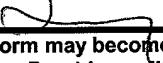
2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims | Fee from Below | Fee Paid |
|--|--------------------|--------------------|----------------|---|
| 32 | -20**= | 12 | x 18.00 | = 216.00 |
| 2 | - 3**= | 0 | x 80.00 | = 0.00 |
| Multiple Dependent | | | | |
| **or number previously paid, if greater; For Reissues, see below | | | | |
| Large Entity | Small Entity | Fee Description | Fee Paid | |
| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 216.00) | | |

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid | | |
|---------------------|--------------|-----------------|----------|--|-------|
| Fee Code | Fee Code | Fee Description | Fee Paid | | |
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,210 | 241 | 605 | Petition to revive – unintentional | |
| 142 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 143 | 430 | 243 | 215 | Design issue fee | |
| 144 | 580 | 244 | 290 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 240 | 126 | 240 | Submission of information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146 | 690 | 246 | 345 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 690 | 249 | 345 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| Other fee (specify) | | | | | |
| Other fee (specify) | | | | | |

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** **(\$ 40.00)**

| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|---|----------------------------------|----------------|
| Name (Print/Type) | Bobby K. Truong | Registration No (Attorney/Agent) | 37,499 |
| Signature |  | Telephone | (408) 414-1080 |
| | | Date | June 12, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231